### Language Modeling



### Overview

- What is a language model?
- How do we evaluate language models?
- Traditional language models
- Feedforward neural networks for language modeling
- Recurrent neural networks for language modeling

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- Grammatically incorrect or rare sentences should be more improbable
- Or equivalently, what is the probability of a word following a sequence of words?

"The cat chased a mouse" vs "The cat chased a turnip"

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Can be framed as a sequence modeling task

Two classes of models

- Count-based: Markov assumptions with smoothing
- Neural models

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We have seen this difference before. In this lecture, we will look at some details

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- A good language model should help with an end task such as machine translation
  - If we have a MT system that uses language models to produce outputs...
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Can we define an intrinsic evaluation?

### What is a good language model?

- Should prefer good sentences to bad ones
  - It should higher probabilities to valid/grammatical/frequent sentences
  - It should assign lower probabilities to invalid/ungrammatical/rare sentences
- Can we construct an evaluation metric that directly measures this?

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### Perplexity

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Lower perplexity corresponds to higher probability

### Example: Uniformly likely words

Suppose we have n words in a sentence, and they are all independent and uniform!

- Would be a strange language....

Perplexity = 
$$(P(w_1w_2w_3\cdots w_n))^{-\frac{1}{n}}$$

$$=\left(\left(\frac{1}{n}\right)^n\right)^{-\frac{1}{n}}=n$$

Given a sentence  $w_1 w_2 w_3 \cdots w_n$ , define the perplexity of a language model as  $\left(P(w_1 w_2 w_3 \cdots w_n)\right)^{-\frac{1}{n}}$ 

For a history based model, we have

$$P(w_1 \cdots w_n) = \prod_i P(w_i | w_{1:i-1})$$

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Average number of bits needed to encode the sentence

### Several benchmark sets available

- Penn Treebank Wall Street Journal corpus
  - Standard preprocessing by Mikolov
  - Vocabulary size: 10K words
  - Training size: 890K tokens
- Billion Word Benchmark
  - English news text [Chelba, et al 2013]
  - Vocabulary size: ~793K
  - Training size: ~800M tokens

Standard methodology of training on the training set and evaluating on the test set

- Some papers also continue training on the evaluation set because no labels needed

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Required counting n-grams

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The (k+1)<sup>th</sup> order Markov assumption

$$P(w_1w_2\cdots w_n) \approx \prod_{i} \frac{P(w_{i+1} \mid w_{i-k:i})}{\sqrt{\frac{i}{v}}}$$
  
Need to get this from data

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The problem: Zeros in the counts. The solution: Smoothing

Required counting n-grams

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Many different methods for smoothing. Eg: additive smoothing, with vocabulary V

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Many different methods for smoothing. Eg: additive smoothing, with vocabulary V The most effective non-neural smoothing method: *modified Knesser Ney smoothing* 

### • Pros:

- Easy to train
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  - Heafield et al have written about this extensively
- Work reasonably well

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### • Cons:

- Smoothing techniques are tricky to implement or modify
  - Need to implement backoff, etc
- Scaling to large ngrams is expensive
- Need to have seen words to generalize
  - After seeing "red ties", "green ties", we want to assign high probability to "blue ties"

- Penn Treebank
  - Kneser-Ney 5-gram: 140 ppl
- Billion Word Corpus
  - Kneser-Ney 5-gram: 67.6 ppl

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- Output: A probability distribution over the next word

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# Feedforward neural language model

- Training data
  - K-grams from a corpus
  - Vocabulary includes all words in the training data
    - Also extra symbols for unknown words, start and end of sentences
- Trained with backpropagation
- Parameters:
  - The word embedding matrix
  - The W's and b's

### Computational shortcuts

- The final softmax  $softmax(hW_2 + b_2)$  is over the entire vocabulary
  - Can be slow
- Solutions:
  - Hierarchical softmax: An approximation that structures the softmax computation as traversing a tree with |V| nodes
    - O(log|V|) instead of O(|V|)
  - Noise contrastive estimation: Replacing the softmax with a binary classifier (as we saw with word2vec)

## Feedforward neural language model

### • Pros:

- Better perplexity
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- Flexible architecture that admits skipgrams, etc

# Feedforward neural language model

### • Pros:

- Better perplexity
- Scales better to larger ngrams
- Flexible architecture that admits skipgrams, etc
- Cons:
  - Computationally expensive
  - Doesn't improve translation quality over a Knesser-Ney smoothed model
    - Perhaps because it over-generalizes
    - Example: after seeing "yellow bananas" and "green bananas", it may assign a high probability to "blue bananas"
    - Rigidity of a traditional language model may be preferred

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### Recurrent neural network language model

Starting with [Mikolov 2010-]

- We are modeling a sequence of words
  - Let us use a sequence model for this
- Can use any variant of an RNN
  - Vanilla RNN + gradient clipping [Mikolov]
  - LSTM, GRU units
- Can also include context from previous sentences or topic from the document
   In both cases, as initial state or as part of input for each word
- We could even model a language sequence of characters
   Or a combination

### Samples from a language model

#### **Knesser Ney 5-gram**

mr. rosen contends that vaccine deficit nearby in benefit plans to take and william gray but his capital-gains provision

rural business buoyed by
improved<unk>so<unk>that<unk>up<unk>progres
ss pending went into nielsen visited were issued
soaring searching for an equity giving

a chance affecting price after-tax legislator board closed down N cents

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#### **RNN language model**

meanwhile american brands issued a new restructuring mix to<unk>from continuing operations in the west

the stock over the most results of this is very low because he could n't develop the

peter<unk>chief executive officer says the family ariz. is left get to be working with the dollar

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Note: Perhaps cherry picked examples ... need perplexity or extrinsic evaluations matter more

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- Kneser-Ney 5-gram: 147.8
- Vanilla RNN 4gram [Mikolov & Zweig 2012]: 142.1
- Vanilla RNN 4gram + topic model [Mikolov & Zweig 2012]: 126.4
- LSTM [Zaremba et al 2014]: 82.7
- Variational LSTM [Gal & Ghahramani 2016]: 78.6
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  - Vanilla RNN 9gram: 51.3
  - LSTM [Jozefowicz et al 2016, Grave et al 2016]: ~43.7
  - Variants of LSTMs significantly improve perplexity
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As of 2023: The best language models (in terms of perplexity) are based on transformer neural networks.

E.g. Transformer-XL Large gets 21.8 perplexity on the Billion Word Corpus

We will revisit language models after we see transformers

### Examples from a Character-level RNN

Sampled one character at a time (which becomes the next input)

#### 3 layer RNN with 512 hidden units on Shakespeare

#### PANDARUS:

Alas, I think he shall be come approached and the day When little srain would be attain'd into being never fed, And who is but a chain and subjects of his death, I should not sleep.

#### Second Senator:

They are away this miseries, produced upon my soul, Breaking and strongly should be buried, when I perish The earth and thoughts of many states.

#### DUKE VINCENTIO: Well, your wit is in the care of side and that.

Second Lord: They would be ruled after this chamber, and my fair nues begun out of the fact, to be conveyed, Whose noble souls I'll have the heart of the wars.

#### Clown: Come, sir, I will make did behold your worship.

#### VIOLA:

I'll drink it.

#### https://karpathy.github.io/2015/05/21/rnn-effectiveness/

# What do we get by using an LSTM/GRU?

The hidden representation can remember where we are in the text

- Can remember different aspects of this
- Doesn't have to remember only histories

### Examples of LSTM hidden state in a language model

#### Cell sensitive to position in line:

The sole importance of the crossing of the Berezina lies in the fact that it plainly and indubitably proved the fallacy of all the plans for cutting off the enemy's retreat and the soundness of the only possible line of action--the one Kutuzov and the general mass of the army demanded--namely, simply to follow the enemy up. The French crowd fled at a continually increasing speed and all its energy was directed to reaching its goal. It fled like a wounded animal and it was impossible to block its path. This was shown not so much by the arrangements it made for crossing as by what took place at the bridges. When the bridges broke down, unarmed soldiers, people from Moscow and women with children who were with the French transport, all--carried on by vis inertiae-surrender.

Cell that turns on inside quotes:

"You mean to imply that I have nothing to eat out of.... On the contrary, I can supply you with everything even if you want to give dinner parties," warmly replied Chichagov, who tried by every word he spoke to prove his own rectitude and therefore imagined Kutuzov to be animated by the same desire.

Kutuzov, shrugging his shoulders, replied with his subtle penetrating smile: "I meant merely to say what I said."

. . . . . . . . . . . . .

Karpathy, Andrej, Justin Johnson, and Li Fei-Fei. "Visualizing and understanding recurrent networks." *arXiv preprint arXiv:1506.02078* (2015).

# Summary: Language models

- Goal:
  - Probabilities of sentences
  - Various uses. For example, can be used to rank generated text as being valid or not
- Two broad classes of approaches
  - Traditional language model: based on counts of words in context
  - Neural language models: We saw RNNs. Today, driven by Transformers
  - Both need a lot of data to train
- Evaluated using perplexity
  - Currently, neural language models seem to be the best
- Modern language models are asked to do more than just generate words
  - They are evaluated for their ability to answer questions, chat, etc
  - We will see language models one more time after we encounter transformers